	M PTO	-1390	U.S. DEPARTMENT C	F MMERCE PATENT AND TRADEMARK OFFICE	ATTOMINEY'S DO	3665-122	1 2000
TRANSMITTAL LETT			NSMITTAL LETTE	R TO THE UNITED STATES	U.S. APPLICATION	I NO. (If known, see 37 C.F.R. 1	.5)
DESIGNATED/ELECTED OFFICE (DO/EO/US)							
CONCERNING A FILING UNDER 35 U.S.C. 371  INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED							
			P03/04457	29 April 2003		30 April 2002	
TITL	E OF	INVEN	ITION				
				ZATION OF TRANSGENE EXPRESSION IN	MAMMALIAN C	CELLS	
APF	APPLICANT(S) FOR DO/EO/US  MALLET et al						
Δnn	licant	herewit	h submits to the Unite	d States Designated/Elected Office (DO/EO/L	JS) the following	items and other information	tion:
1.	П			of items concerning a submission under 35 U.			
2.				EQUENT submission of items concerning a s		r 35 U.S.C. 371.	
3.		This is	an express request to	o begin national examination procedures (35 L		•	de
			(5), (6), (9) and (21) i				
4.	<b>□</b>		.S. has been elected (				
5.		·	• •	ation as filed (35 U.S.C. 371(c)(2).	claims),	sheets drawings).	
	a.		s attached hereto (	pages specification, claims & abstract (	ciaims),	Sileets diawings).	
	b.			ed by the International Bureau.	nivina Office (BC	)/LIS)	
	c.			application was filed in the United States Received at the International Application as filed (2)			
6.				tion of the International Application as filed (35	claims),	sheets drawings,	page
:	a. Cerl	tificate c	s attached hereto ( of Translation).	pages specification, claims & abstract (	Ciairio),	aneeta diawinga,	page
	b.		•	ubmitted under 35 U.S.C. 154(d)(4).			
7.				of the International Application under PCT Artic			
	a.		•	equired only if not communicated by the Intern	ational Bureau).		
	b.			ted by the International Bureau.			
	C.			nowever, the time limit for making such amend			
8.		3	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3).				
9.	a.			of the inventor(s) (35 U.S.C. 371(c)(4).			
	b. Declaration was submitted to the International Bureau during International Phase (see copies of Declaration ( page Form PCT/RO/101 and Form PCT/IB/371 and first page of printed publication acknowledging receipt thereof attached).						
10.   An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35)							
U.S.C. 371(c)(5). Items 11 To 20 below concern document(s) or information included:							
11.				tatement under 37 C.F.R. 1.97 and 1.98.			
12.		An ass	signment document fo	r recording. A separate cover sheet in compli	ance with 37 C.I	F.R. 3.28 and 3.31 is incl	uded.
13.	13. a.   A FIRST preliminary amendment.						
	b. A SECOND or SUBSEQUENT preliminary amendment.						
14.		-					
15.	_	A substitute specification.					
16.	— · · · · ·						
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.						
18.							
19.							
20. Noti	20. Other items or information. Supplemental Amendment; paper and computer readable copies of Sequence Listing; copy of Notification of Missing Requirements mailed 1/10/2005						

ſ	U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)					INTERNATIONAL APPLICATION NO. PCT/EP03/04457			ATTORNEY'S DOCKET NUMBER 3665-122			
ŀ						PC1/EF03/04437				ī		
ŀ	21.  The following fees are submitted:  BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):											
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	c) Searc	ch Fee					•	632)/\$250.00 (26		\$		
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	A duplicate copy of this form is enclosed.  c.   The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any											
	overpayment to Deposit Account No. <u>14-1140</u> . A <u>duplicate</u> copy of this form is enclosed.  d. CREDIT CARD PAYMENT FORM ATTACHED.											
							3/0445	7 and any U.S. ar	nd foreign ap	plica	ition(s) corre	esponding
	thereto, and EP 02291091.3, referred to in this application is/are hereby incorporated by reference in this application.											
	NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a)											
	or (b) must be filed and granted to restore the application to pending status. CORRESPONDENCE ADDRESS											
	Direct all core											
		-		umber:		23117						
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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.C. Dox 1450 Alexandria, Vinginia 22313-1450

23117 NIXON & VANDERHYE, PC 1100 N GLEBE ROAD 8TH FLOOR ARLINGTON, VA 22201-4794T/MATTER # DUE DATE FINAL DEADLINE FINAL DEADLINE DOCKETED BY  DISTRICTION NO.  1100 N GLEBE ROAD  1100 OS  CONFIRMATION NO. 378  371 FORMALITIES LETTER  OCCO000000014916462*	U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATT	Y. DOCKET NO.
PCT/EP03/04457  NIXON & VANDERHYE, PC DOCKETED  1100 N GLEBE ROAD  8TH FLOOR ARLINGTON, VA 22201-4764T/MATTER # 3665-100  MAIL DATE DUE DATE FINAL DEADLINE Aug 10 2005  CONFIRMATION NO. 378  371 FORMALITIES LETTER  *OCCO00000014916462*	10/511,343	Jacques Mallet	-	3665-122
23117 NIXON & VANDERHYE, PC 1100 N GLEBE ROAD 8TH FLOOR ARLINGTON, VA 22201-4794T/MATTER # 3665-133  MAIL DATE DUE DATE FINAL DEADLINE FINAL DEADLINE DOCKETED BY  LA FILING DATE  04/29/2003  04/30/2002  CONFIRMATION NO. 378  371 FORMALITIES LETTER  *OCCO000000014916462*		[	INTERNATIONAL AP	PLICATION NO.
NIXON & VANDERHYE, PC  1100 N GLEBE ROAD  8TH FLOOR ARLINGTON, VA 22201-4764T/MATTER #  DUE DATE  FINAL DEADLINE  AUG 10, 2005  CONFIRMATION NO. 378  371 FORMALITIES LETTER  *OCCO000000014916462*	00447		PCT/EP03	/04457
1100 N GLEBE ROAD  8TH FLOOR  ARLINGTON, VA 22201-4794T/MATTER # 3665-132  MAIL DATE  DUE DATE  F'NAL DEADLINE Aug 10, 2005  CONFIRMATION NO. 378  371 FORMALITIES LETTER  *OCCO00000014916462*		DOCKETED	I.A. FILING DATE	PRIORITY DATE
ARLINGTON, VA 22201-4794T/MATTER #	•		04/29/2003	04/30/2002
Date Mailed: 01/10/2005	ARLINGTON, VA 22201-4794T/N MAIL DUE FINA DOC	DATE	371 FORMALITIES L	ETTER

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/15/2004
- Copy of the International Search Report filed on 10/15/2004
- Preliminary Amendments filed on 10/15/2004
- Information Disclosure Statements filed on 10/15/2004
- Oath or Declaration filed on 12/13/2004
- Biochemical Sequence Listing filed on 10/15/2004
- Request for Immediate Examination filed on 10/15/2004
- U.S. Basic National Fees filed on 10/15/2004
- Assignment filed on 12/13/2004

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - does not identify the citizenship of each inventor.

The following items MUST be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e) and PCT Rule 13ter.1(a)(ii).
  - APPLICANT MUST PROVIDE:

- An initial or substitute computer readable form (CRF) of the "Sequence Listing."
- A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase Patentin Software, call (703) 306-2600
  - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

CHARITTA A BURT

Telephone: (703) 305-3734

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/511,343	PCT/EP03/04457	3665-122

FORM PCT/DO/EO/905 (371 Formalities Notice)



V.S. Nutronal Phase of PCT	EP2003/04457
New Application Serial No.: Unassigned Inventor/s: MALLET et al Title: OPTIMIZATION OF TRAI	C#/M#: 3665-122  Atty: B. J. Sadoff  Date: 10/15/2004
MAMMALIAN CELLS	10/511343

XX Transmittal Letter – 2 copies

XX Preliminary Amendment

XX Published International application WO 03/093485 (38 pages specification & claims (34 numbered claims), one (1) face page with abstract, eight (8) sheets drawings & two (2) pages Sequence Listing)

XX IDS, PTO-1449 Form, International Search Report & three
(3) cited references (A rovov etal; Sadot etal)

Fee (Check) - Pre-Bill \$2160.00 Fee (Check) - Non DTU3 Rec'd PCT/PTO .1 5 OCT 2004 Total Fee Enclosed

Other: Computer readable copy of Sequence Listing